



MISSOURI DEPARTMENT OF MENTAL HEALTH

KEITH SCHAFER, DEPARTMENT DIRECTOR



DEPARTMENT
OPERATING
REGULATION
NUMBER

DOR
4.060

CHAPTER Program Implementation and Records	SUBCHAPTER Program Plans	EFFECTIVE DATE 12-10-14	NUMBER OF PAGES 3	PAGE NUMBER 1 of 3
SUBJECT Risk Screening and Assessment Procedures		AUTHORITY 630.050	History See below	
PERSON RESPONSIBLE Director, Division of Developmental Disabilities			Sunset Date 7-1-18	

PURPOSE: Prescribes procedures for screening and assessing risk and assignment of levels of supervision and support.

APPLICATION: Applies to all habilitation center campuses operated by the Division of Developmental Disabilities

(1) Terms defined in sections 630.005 and 633.005, RSMo, are incorporated by reference for use in this Department Operating Regulation. Unless the context clearly indicates otherwise, the following terms shall also mean:

(A) Behavioral Risk Screening: A general evaluation instrument approved by the Division of Developmental Disabilities used in habilitation centers to initially and periodically screen or check behavioral status to determine whether the individual may present some risk to self, others, or property. The person's Interdisciplinary Team makes the final determination.

(B) Individual: Person receiving services from any facility operated by the Department of Mental Health, otherwise referred to as client, resident, patient or consumer.

(C) Individual Who Has Behavioral Issues: A person living at a habilitation center who through the presence of undesirable behavior or the absence of desirable behavior presents some level of danger to self, others, or property.

(D) Individual Support Plan (ISP): The Individual Habilitation Plan or Personal Plan for the person's care, support, habilitation, and rehabilitation. The Plan includes strategies that specifically address behavioral support needs either as an attachment or as a part of the body of the plan.

(E) Interdisciplinary Team (IDT): The individual, consumer, or client's designated representative(s), the case manager or qualified developmental disabilities professional, and representatives of services required or desired by the client.

(F) Levels of Risk

1. High Risk: Individuals who may be expected to present a high level of danger to themselves or others as measured by the most recent evaluation using the Behavioral Risk Screening.

2. Moderate Risk: Individuals who may be expected to present a moderate level of danger to themselves or others as measured by the most recent evaluation using the Behavioral Risk Screening.

3. Minimal Risk: Individuals who are not expected to present any substantive level of risk to themselves or others as measured by the most recent evaluation using the Behavioral Risk Screening.



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(G) Level of Supervision and Support: Determination made by the individual’s IDT based, in part, on risk screening or assessment. The IDT may designate an increased level of supervision and support for the individual than is typical because the consumer presents a higher level of risk or support needs.

1. One-to-one: One staff is assigned to work exclusively with one individual and can immediately intervene to address any high risk behavior. Staff assigned to one-to-one supervision shall not engage in any activity other than the oversight of the individual. The IDT shall identify and define any special and/or privacy considerations in implementing this level of supervision and support in the individual support plan and behavior support plan.

2. Two-to-one: Two staff are assigned to work exclusively with one individual and can immediately intervene to address any high risk behavior. Staff assigned two-to-one supervision shall not engage in any activity other than the oversight of the individual. The IDT shall identify and define any special and/or privacy considerations in implementing this level of supervision and support in the individual support plan and behavior support plan.

3. Priority Supervision: Assigned staff must know the location of the individual they are supporting. The IDT shall identify and define in the individual support plan and behavior or medical and nursing care plans the individual’s level of supervision and support needed throughout the day as well as any special considerations in implementing this level of supervision and support.

4. Episodic one to one for medical or behavioral reasons: One staff person is assigned to support the individual during specific activities as outlined in the individual support plan and behavior or medical and nursing care plans. The individual’s medical and nursing care plans or behavior support plan shall document when increased medical or behavioral levels of supervision and support are needed.

(2) For individuals with behavioral issues, the IDT shall —

(A) re-evaluate consumers at increased levels of supervision and support, using the Behavioral Risk Screening, when changes in the individual’s behavior occur, but shall be reviewed at least quarterly to determine if the increased level of supervision and support is still needed;

(B) re-evaluate individuals within twenty-four (24) hours after a serious incident of aggressive behavior; and

(C) review each incident of moderate or high risk involving individuals who are currently not at an increased level of supervision and support to determine if there is a need for an increased level of supervision and support. No Behavioral Risk Screening will be required at this time.

(3) Individuals who have behavioral issues shall be assured the same rights as all other persons unless a restriction of their rights is documented in their Individual Support Plan and/or Behavior Support Plan due process procedures have been



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followed, Division Directive 4.200 (Human Rights Committee) has been followed and DOR 4.145 and its successors have been followed.

(4) Staff who supervise other staff who work directly with individuals shall monitor to assure that individuals receive the appropriate level of supervision and support as prescribed by the ID Team.

(5) Staff who fail to provide the appropriate level of supervision and support as assigned may be subject to disciplinary action in accordance with personnel guidelines and findings of abuse/neglect investigations, if applicable.

HISTORY. Replaces 9 CSR 45-3.050. Original DOR effective July 1, 2003. On July 1, 2006 the sunset date was extended to July 1, 2009. Amended, effective April 10, 2007. Amendment effective July 1, 2010. Amendment effective June 28, 2013. Amendment effective December 10, 2014.