



MISSOURI DEPARTMENT OF MENTAL HEALTH

KEITH SCHAFFER, DEPARTMENT DIRECTOR



DEPARTMENT
OPERATING
REGULATION
NUMBER

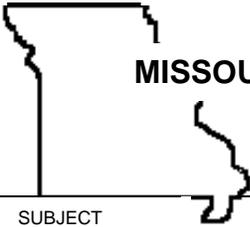
DOR
1.340

CHAPTER General Department	SUBCHAPTER Risk Management	EFFECTIVE DATE 6/17/14	NUMBER OF PAGES 5	PAGE NUMBER 1 of 5
SUBJECT Use of Security Escort Devices in CPS Facilities		AUTHORITY 630.175, RSMo	HISTORY See Below	
PERSON RESPONSIBLE Director, Division of Comprehensive Psychiatric Services			Sunset Date 7/1/17	

***Purpose:** Prescribes the policy on the use of security escort devices.

Application: Applies to the Division of Comprehensive Psychiatric Services (CPS) operated adult inpatient facilities.

- (1) As used in this DOR, the following terms shall mean:
 - (A) Security Escort Devices: Apparatus or appliances designed to restrict physical movement, and which are used to maintain safety and security and to prevent escape during transport of individuals outside a facility.
 - (B) Physician: A person licensed as a physician pursuant to Chapter 334, RSMo. and designated by the facility to perform functions as set out in the DOR.
 1. Attending Physician: The physician primarily responsible for an individual's ongoing care.
 - (C) Civilly Detained: Refers to individuals who have been committed to the Department pursuant to 632 RSMo.
 - (D) Head of the Facility: The chief operating officer, or his or her designee, of a CPS operated inpatient facility.
 - (E) IRA: The Integrated Risk Assessment required for long term stay patients.
 - (F) 1:1 Supervision: For purposes of this DOR, 1:1 supervision means that a minimum of one employee is assigned to each individual transported in escort devices. The individual in escort devices shall not be left alone. The employee shall be within an arm's length distance of the individual and close enough to assist the individual with ambulation and to prevent a fall. The assigned employee cannot be the transport driver.
- (2) Pursuant to 630.175.4, RSMo., the use of security escort devices which are used to maintain safety and security and to prevent escape during transport outside a facility shall not be considered physical restraint.
- (3) Transport of individuals outside the secure perimeters of psychiatric facilities pose increased risks for escape. It is necessary and appropriate for the facility head or attending



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physician to evaluate individuals who present safety and security risks to themselves or others should they escape, and to order the use of escort devices as indicated by that evaluation.

(4) Individuals who have been civilly detained under sections 632.300 to 632.475, RSMo., [civil involuntary detention] and individuals who have been determined to be permanently incompetent to stand trial and for whom charges have been dropped and a guardian appointed [Voluntary-Adult by Guardian (previously Incompetent to Proceed)], may be placed in security escort devices when transported outside the facility if it is determined by the head of the facility or the attending physician that the use of security escort devices is necessary to protect the health and safety of the individual or other persons or is necessary to prevent escape.

(A) When it is determined that the use of security escort devices are indicated for the transport of a civil involuntarily detained individual or an individual who is Voluntary-Adult by Guardian (previously Incompetent to Proceed), an order will be written in the individual’s medical record by the facility head or attending physician. The order will be written prior to the transport, and will specify the justification for the use of escort devices and the type of escort devices to be used.

(B) These individuals shall receive 1:1 Supervision as indicated above and, in addition, shall have a second person assigned as a driver who usually does not need to remain with the patient and the assigned 1:1 supervising staff. The facility’s risk assessment of an individual may dictate the need for assignment of additional staff beyond the minimum required.

(5) Individuals who have been civilly detained under sections 632.480 to 632.513, RSMo., [sexually violent predator civil detention (SVP)]; committed under chapter 552 RSMo., for pretrial evaluation; or committed under chapter 552 RSMo., as incompetent to stand trial (IST) [including individuals who have been determined by the court to be permanently incompetent to proceed (PIST) but for whom charges remain)] shall be transported outside of the facility utilizing escort devices consistent with the policies and procedures established by the facility for that purpose.

(A) No order is required to be entered into the individual’s medical record unless some aspect of the policies or procedures is to be modified for the individual being transported. If modification is made, a written order in the individual’s medical record will specify what is to be modified and why the modification to policy/procedure is required.



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(B) These individuals shall receive 2:1 Supervision and the second person assigned for supervision may be a driver if such driver remains with the patient during the course of time away from the secure facility. The facility’s risk assessment of an individual may dictate the need for assignment of additional staff beyond the minimum required.

1. It is recognized that there will be unique situations where a health risk or health care necessity contraindicates the use of escort devices or 1:1 supervision, (such as during surgery or treatment in an Intensive Medical Care Unit, emergency transport in ambulances/helicopters, potential need for cardiac defibrillation, wheelchair confinement or significant fall risk, etc.). In these circumstances, the facility head and/or physician will document in the individual’s medical record the reasons for not using escort devices or maintaining 1:1 supervision; and what alternate security measures were or will be maintained.
2. In the event that such a person is admitted to a non-secure medical setting, the minimum 2:1 supervision requirement changes to a minimum of 1:1 supervision if the patient is admitted to a hospital and has arrived to his/her assigned patient room. [Staff is now in a “sitter” role with the patient.]
3. Questions related to whether Escort Devices remain on, or are modified, or are removed while an individual is residing/receiving treatment in an unsecured medical facility should be reviewed on an individual basis by the facility head and medical director (or their designees), and a determination informed by the IRA and the individual’s medical health status/needs should be made as soon as possible and instruction provided to the staff providing supervision.

(6) Individuals committed under chapter 552 RSMo. as not guilty by reason of mental disease or defect (NGRI) shall be placed in security escort devices when transported outside of the facility unless it is determined by the head of the facility or the attending physician that security escort devices are not necessary to protect the health and safety of the individual or others or are not necessary to prevent escape.

(A) No order is required to be entered into the individual’s medical record unless some aspect of the policies or procedures is to be modified for the individual being transported. If modification is made, a written order in the individual’s medical record will specify what is to be modified and why the modification to policy/procedure is required.

1. The determinations made by the head of the facility or the attending physician that security escort devices are not necessary to protect the health and safety



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of the individual or others or are not necessary to prevent escape shall be based on the IRA and a privilege level or conditional release that permits passes or supervised community activity.

(B) These individuals shall receive 1:1 Supervision with a second person assigned as a driver who usually does not need to remain with the patient and 1:1 supervising staff.

1. Any person committed as NGRI who is on a restriction to the ward status due to risks associated with escape or behavioral concerns shall be maintained with 2:1 Supervision. The second supervising individual may be the driver if the driver remains with the patient during the course of the time the patient is away from the secured facility. The facility's risk assessment of an individual may dictate the need for assignment of additional staff beyond the minimum required.
2. See (5)(B) 2 and 3 for supervision and use of escort devices when individual is hospitalized in a non-secured medical facility.

(7) It is recognized that there will be unique situations where a health risk or health care necessity contraindicates the use of escort devices or 1:1/2:1 supervision, (such as during surgery or treatment in an Intensive Medical Care Unit, emergency transport in ambulances/helicopters, potential need for cardiac defibrillation, wheelchair confinement or significant fall risk, etc.). In these circumstances, the facility head and/or physician will document in the individual's medical record the reasons for not using escort devices or maintaining 1:1/2:1 supervision; and what alternate security measures were or will be maintained.

(8) The supervision level and escort devices are required as noted in sections (4), (5), and (6) unless the facility has requested and received waiver of this requirement from Central Office, [CPS COO, CPS Forensic Director, CPS Facility Coordinator].

(9) Each facility will develop policies and procedures specific to the use of escort devices in transporting individuals. These policies and procedures will address or contain the following:

- (A) All staff involved in the use and application of escort devices shall receive education and training on the appropriate use and safe application of escort devices.
- (B) All staff assigned to monitor individuals in escort devices will be competent in checking circulation and range of motion, checking skin integrity, identifying signs of incorrect application of devices, addressing hygiene and elimination needs,



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- recognizing nutritional/hydration needs, assessing general physical and psychological status, and recognizing the need to contact medical personnel for further evaluation.
- (C) Individuals who have escort devices applied will have at a minimum 1:1 supervision by staff throughout the period of time they have escort devices applied.
 - (D) The assigned staff will monitor the escorted individual's wellbeing, and ensure that any needed food, fluids, and elimination breaks are offered.
 - (E) A registered nurse or physician will document a brief note describing the general physical and psychological status of an individual placed in escort devices prior to departure from the facility and upon return to the facility.
 - (F) Only escort devices identified and approved by the facility will be used. Policy will instruct staff on the appropriate cleaning and maintenance of such devices.

HISTORY: *Original DOR effective 8/27/10; Amendment effective 2/15/11. On June 17, 2014 the sunset date was extended to July 1, 2017.*